



Audit & Governance Committee  
25 September 2014

## LEADERSHIP RISK REGISTER

### Purpose of the report:

The purpose of this report is to present the latest Leadership Risk Register and updates the committee on any changes made since the last meeting.

### Recommendations:

It is recommended that the committee:

1. Review the Leadership Risk Register; and
2. Determine whether there are any matters that they wish to draw to the attention of the Chief Executive, Cabinet, specific Cabinet Member or relevant Select Committee.

### Leadership risk register:

3. The Leadership Risk Register (Annex A) is owned by the Chief Executive and shows the council's key strategic risks. The register is reviewed by the Strategic Risk Forum (chaired by the Director of Finance) and then by the Statutory Officers Meeting on a monthly basis.
4. Since the last meeting there have been a number of changes. The Statutory Officers Meeting have proposed to amend the layout of the register to enhance clarity and begun a review of the strategic risks.
5. The changes to the layout are:
  - Added a note to proposed that the risk register covers a rolling 12 month period.
  - Defining the controls column to highlight decisions needed to manage the inherent risk
  - Adding a column for "processes in place" to demonstrate how risks are being mitigated
  - Having one column for the Officers and members who are the risk owners

6. There have been a number of changes to the actual strategic risks from the Statutory Officers Meeting and the remaining risks are being reviewed to ensure that the risk is accurately defined, the right controls are in place and the level of confidence in those controls is reflected in the residual risk column.
7. The following risks have so far been amended:
  - Future Funding (L14)
  - Medium Term financial Plan 2014-2019 (L1)
  - Partnership working has changed to Integration of health and social care (L16)
8. An additional strategic risk covering on the Comprehensive Spending Review 2015 (L18) has been added.
9. There will be further changes to the other strategic risks for the next meeting.

#### **Implications:**

##### **Financial and value for money implications**

10. Effective management of risks and financial controls helps to monitor costs and enable value for money.

##### **Equalities and Diversity Implications**

11. There are no direct equality and diversity implications.

##### **Risk Management Implications**

12. Effective risk management supports the achievement of the council's priorities and continuous improvement in service delivery.

#### **Next steps:**

The Leadership Risk Register will be presented to the next committee meeting.

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